SEC Form 5

FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Form 3 Holdings Reported.

Instruction 1(b)

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Form 4 Transactions Reported. or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person* (Check all applicable) PHOTRONICS INC [PLAB] MACRICOSTAS CONSTANTINE S x Director 10% Owner Officer (give title Other (specify 3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) below) below) (Last) (First) (Middle) 10/31/2021 15 SECOR RD 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) Х Form filed by One Reporting Person BROOKFIELD CT 06804 Form filed by More than One Reporting Person (Citv) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 1. Title of Security (Instr. 3) 2A. Deemed 5. Amount of 7. Nature of 2. Transaction Indirect Beneficial Ownership Execution Date Transaction Securities Ownership Date (Month/Day/Year) Beneficially Code (Instr. orm: Direct if any (Month/Day/Year) 8) Owned at end of (D) or Indirect (I) Issuer's Fiscal (Instr. 4) (A) oi (D) Amount Price Year (Instr. 3 and (Instr. 4) 4) \$12.68 Common Stock 04/15/2021 G 13,500 D 510,075 D Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3. Transaction 3A. Deemed Execution Date 5. Number 6. Date Exercisable and 7. Title and 8. Price of Derivative 9. Number of 10. 11. Nature 4. Transaction Code (Instr. 8) Conversion or Exercise Expiration Date (Month/Day/Year) Dat Amount of derivative Ownership of Indirect (Month/Day/Year) Derivative if any Security Securities Securities Form: Beneficial Security Direct (D) Ownership (Instr. 3) Price of (Month/Dav/Year) Securities Underlying (Instr. 5) Beneficially or Indirect (I) (Instr. 4) Derivative Acquired Derivative Owned (Instr. 4) (A) or Disposed of (D) Security Security (Instr. 3 and 4) Following Reported Transaction(s) (Instr. 3, 4 (Instr. 4) and 5) Amount

Date

Exercisable

(A)

(D)

Expiration

Date

Explanation of Responses:

<u>/s/Richelle E. Burr, attorney-</u> in-fact for Constantine S. <u>12/</u>

Number

Shares

of

Title

Macricostas

12/15/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.